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# intellectual Property Law Group

# Verizon Corporate Services Group Inc

600 Hidden Ridge Drive Irving, Texas 75038 MailCode HQE03H14 972/718-4800 Phone:

972/718-3946 Fax:

E mail:

christian.andersen@verizon.com

To:

U.S. Patent and Trademark Office - From:

Christian R. Andersen

Sr. Paralegal – intellectual Property

MAIL STOP: PETITION **Examiner: Sajid YUSSUF** Group Art Unit: 2141 Confirmation No.: 4126

Fax:

703-872-9306

Pages

with 17 Cover:

## FORMAL SUBMISSION OF:

Petition Under 37 C.F.R. § 1) 1.181(a) to Withdraw Holding of Abandonment Based on

Failure to Receive Office Action with Exhibits A through

Title:

SYSTEMS AND METHODS FOR PROVIDING ENHANCED MESSAGE

**SERVICES** 

Serial No.

09/652,908

Filing Date:

August 31, 2000

First Named Inventor:

Mark J. HAHN

Atty. No.

00-8012

# CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the above-referenced documents are facsimile transmitted to the Patent and Trademark

Office on the date shown below:

Christian R. Andersen

Date of Transmission: April 15, 2005

**PATENT** Attorney Docket No. 00-8012

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	application of:	)	
Mark .	J. HAHN et al.	į	
Serial	No.: 09/652,908	)	Group Art Unit: 2141
Filed:	August 31, 2000	)	Examiner: Sajid Yussuf
For:	SYSTEMS AND METHODS FOR	)	
	PROVIDING ENHANCED	)	
	MESSAGE SERVICES	)	

Mail Stop PETITION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### PETITION UNDER 37 C.F.R. 1.181(a) AND IN ACCORDANCE WITH MPEP 711.03(c) II TO WITHDRAW HOLDING OF ABANDONMENT BASED ON FAILURE TO RECEIVE OFFICE ACTION

This petition is submitted under 37 C.F.R. 1.181(a) and in accordance with provisions of MPEP 711.03(c) II. Applicant hereby petitions the Commissioner to withdraw the holding of abandonment for the following reasons.

A Notice of Abandonment was mailed on February 9, 2005 advising that Applicant had failed to timely file a proper reply to the final office action mailed on July 15, 2004 ("Office Action"). Indeed, Applicant did not timely file that reply. Applicant had not received, and was not aware of, either the Office Action or the Notice of Abandonment until March 14, 2005, and therefore could not possibly have timely filed that reply.

On March 14, 2005, Mr. George MacDonald of Pitney Bowes Inc., located in Shelton, Connecticut, ("Pitney Bowes") forwarded by facsimile transmission to the attention of both the undersigned representative and Mr. Christian Andersen, Senior Paralegal — Intellectual Property for the assignee of the instant application, ("Verizon") copies of both the Notice of Abandonment and the Office Action. The Notice of Abandonment and the Office Action had been misaddressed by the Patent Office and had been mailed directly to Pitney Bowes. Therefore, Applicant did not have any knowledge of the existence of either of these two patent office papers until Verizon received information to that effect from Pitney Bowes which first occurred on March 14, 2005, by facsimile transmission from Pitney Bowes to Verizon.

A copy of the facsimile cover sheet forwarded by facsimile transmission from a Mr.

George MacDonald of Pitney Bowes to the attention of the undersigned representative and to Mr.

Andersen is dated March 14, 2005, and is included herewith as Exhibit A.

A copy of the first page of the Notice of Abandonment bearing mail date of February 9, 2005, misaddressed to Pitney Bowes, Inc., 35 Waterview Drive, P.O. Box 3000, MSC 26-22, Shelton, CT 06484-8000 and forwarded to the undersigned and Mr. Andersen by facsimile transmission under cover of the above-noted facsimile cover sheet on March 14, 2005, is included herewith as Exhibit B.

A copy of the first page of the Office Action bearing mail date of July 15, 2004 misaddressed to Pitney Bowes, Inc., 35 Waterview Drive, P.O. Box 3000, MSC 26-22, Shelton, CT 06484-8000 and forwarded to the undersigned and Mr. Andersen by facsimile transmission under cover of the above-noted facsimile cover sheet on March 14, 2005 is included herewith as Exhibit C.

At the top margin of each of the Exhibits, Pitney Bowes' fax number (203-924-3919) is shown as the sending fax number and Verizon's fax number (972-718-3946) is shown as the receiving fax number.

The foregoing documentary evidence clearly shows that the Patent Office misaddressed both the Office Action and the Notice of Abandonment and that Applicant received those documents from the mistaken addressee on March 14, 2005. Applicant respectfully submits that the foregoing representation and above-noted documentation provide a sufficient showing that Applicant did not receive these documents until March 14, 2005.

However, since MPEP 711 03(c) requires that: "A copy of the docket record where the non-received Office communication would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.", Applicant submits herewith, as Exhibit D, the declaration of Mr. Christian R. Andersen attesting to the fact that a search of the docket records was made, the results of which indicate that the Office communication had not been received prior to March 14, 2005. Verizon stopped maintaining a handwritten record on the file jackets of its patent office prosecution files on or about November 1, 2003, well prior to the date of the Office Action. Applicant further submits herewith, as Exhibit E, a copy of the relevant docket record.

#### **CONCLUSION**

In view of the above, Applicant respectfully petitions and requests the Commissioner to withdraw the holding of abandonment based on failure to receive the Office Action.

Although this petition is not being filed within two months of the mailing date of the Notice of Abandonment (February 9, 2005), this petition is being filed within two months (actually one month and one day) of the date of actual notice to Applicant (March 14, 2005).

Applicant is responding to this unfortunate USPTO mistake as promptly as it can. Applicant respectfully submits that this petition is not to be interpreted as untimely filed under the provisions of 37 C.F.R. 1.181(f) which provides ample discretion to treat an otherwise untimely petition to withdraw the holding of abandonment on its merits. This is a case where such discretion should be exercised, as this mistake was not, in any way, the fault of Applicant.

It is believed that no fee is due with the filing of this paper. However, if a fee(s) is due, please charge any such fees in connection with the filing of this paper, to Deposit Account No. 07-2347 and please credit any excess fees to such deposit account. The Examiner is invited to telephone the undersigned at the telephone number provided below if he feels that a telephone conversation may serve to expedite the withdrawal of the holding of abandonment.

Verizon Corporate Services Group Inc.

By:

Inel '

Reg. No. 25,648

Date: April 15, 2005

**2**006

VERIZON IP

**PATENT** Docket No. 00-8012 Application Serial No. 09/652,908

Christian Andersen Verizon Corporate Services Group Inc. 600 Hidden Ridge, HQE03H14 Irving, Texas 75038 (972) 718-4800

Customer No. 32127

**2**007

#### **EXHIBIT D**

**PATENT** Attorney Docket No. 00-8012

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Mark J. HAHN et al.	) )
Serial No.: 09/652,908	Group Art Unit: 2141
Filed: August 31, 2000	) Examiner: Sajid Yussuf
For: SYSTEMS AND METHODS FOR PROVIDING ENHANCED MESSAGE SERVICES	) ) )
Mail Stop PETITION Commissioner for Patents	,
P.O. Box 1450	
Alexandria, VA 22313-1450	

#### DECLARATION OF CHRISTIAN R. ANDERSEN IN SUPPORT OF PETITION TO WITHDRAW HOLDING OF ABANDONMENT BASED ON FAILURE TO RECEIVE OFFICE ACTION

## I, Christian R. Andersen, make declaration as follows:

- 1. I am employed as Senior Paralegal Intellectual Property by Verizon in Irving, Texas, and am responsible, for receiving all communications mailed to Verizon from the United States Patent and Trademark Office ("USPTO").
- 2. I am responsible for, inter alia, maintaining the U.S. and foreign patent docket for Verizon.
- 3. I was not aware of the issuance of the final Office Action dated July 15, 2004 in this application nor the Notice of Abandonment dated February 9, 2005 in this application until March 14, 2005 when I received a phone call from Mr. George MacDonald of Pitney Bowes

informing me of the existence of these USPTO papers and after which he forwarded copies of both papers to me via a facsimile communication that same day.

- 4. I attach hereto as Exhibit E to the Petition a true copy of the docketing record for this application for the time period from prior to July 15, 2004 to March 13, 2005 which is maintained under my control.
- 5. I represent that Exhibit E shows all entries for communications received from the USPTO for this application during that time period, such time period including the entire statutory period for response to the final Office Action dated July 15, 2004, and that Exhibit E shows no entry for receipt of the final Office Action.
- 6. I represent that Exhibit E also includes the time period in which receipt of the Notice of Abandonment would have been entered had it been received, and that Exhibit E shows no entry for the Notice of Abandonment.
- 7. Verizon stopped maintaining handwritten records on the file jackets of its patent prosecution files on or about November 1, 2003, well prior to the date of the final Office Action in this application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Ву:		
-	CHRISTIAN R.	<b>ANDERSEN</b>

VERIZON IP

Date: April 14, 2005

P.01

MAR 14 2005 11:49 FR INTELLECTUAL PROPERTY3 924 3919 TO 919727183946 **Exhibit A** Pitney Bowes Inc. Intellectual Property & Technology Law 35 Waterview Driva Facsimile P.O. Box 3000 Shellon, Connecticut 08484-8000 203-924-3919 Fax From: www.pitneybowes.com Date: 21 Number Of Pages To Follow:

MAR 1 4 2005

MAR 14 2005 11:49 FR INTELLECTUAL PROPERTY3 924 3919 TO 919727183946

P.02



#### **Exhibit B**

## UNITED STATES PATENT AND TRADEMARK OFFICE

- Indo	FILING DATE	FIRST NAMED DIVENTOR	ATTOMORY DOCKET NO.	CONFLIMATION NO.
APPLICATION NO.	04/31/2000	Mark J. Hahn	90-8012	4126
919	75m 03/08/2905		EXAMPLE	
PITNEY BO	WES INC.	•	KANO, 7	AULH
35 WATERV	IEW DRIVE		ART UNIT	PAPER NUMBER
P.O. BOX 30 MSC 26-22	, ,		2141	
SHELTON.	CT 06484-8000		DATE MAILED: 02/09/200:	5

VERIZON IP

Please find below and/or attached an Office communication concerning this application or proceeding.

MAR :4 2005 11:50 FR INTELLECTUAL PROPERTY3 924 3919 TO 919727183946

P AC



## **Exhibit C**

United States Patent and Trademark Office

INITEO STATES DEPARTMENT OF COMMERCE United States Patent upd Treatment Office Address Commerce (1997) (D. Buy 1997) Anniver, Virginia 2310-1450

			ATTORNEY POCKET NO.	CONFIRMATION NO.
APPLICATION NO.	FILING DATE	FUST NAMED INVENTOR	AT TORPET POCKES AND	
09/652,909	08/31/2000	Muck J. Haka	00-8012 4126	
919 7	1930 67/15 <b>/</b> 7804		EXAMINER	
PITNEY BOY			YUSSUF	SAND
35 WATERVE			ARTUNIT	PAPER NUMBER
P.O. BOX 3000 MSC 26-22	V		2141	
SHELTON, C	T 06484-8000		DATE MAIL PD: 07/15/200	<b>L</b>

Please find below and/or attached an Office communication concerning this application or proceeding.

C67 315/05

#### **EXHIBIT D**

PATENT Attorney Docket No. 00-8012

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:		)	
Mark J. HAHN et al.		)	
Serial No.: 09/652,908		)	Group Art Unit: 2141
Filed: August 31, 2000		)	Examiner: Sajid Yussuf
For: SYSTEMS AND I	METHODS FOR	)	
PROVIDING ENF MESSAGE SERV		)	
	CLG	,	
Mail Stop PETITION Commissioner for Patents			
P.O. Box 1450			

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Alexandria, VA 22313-1450

- 1. I am employed as Senior Paralegal Intellectual Property by Verizon in Irving, Texas, and am responsible for receiving all communications mailed to Verizon from the United States Patent and Trademark Office ("USPTO").
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- 4. I attach hereto as Exhibit E to the Petition a true copy of the docketing record for this application for the time period from prior to July 15, 2004 to March 13, 2005 which is maintained under my control.
- 5. I represent that Exhibit E shows all entries for communications received from the USPTO for this application during that time period, such time period including the entire statutory period for response to the final Office Action dated July 15, 2004, and that Exhibit E shows no entry for receipt of the final Office Action.
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

CHRISTIAN R. ANDERSEN

Date: April 14, 2005

#### **EXHIBIT E**

Patent Status as of 3/13/2005

SYSTEMS AND METHODS FOR PROVIDING ENHANCED MESSAGE SERVICES (aka PMA)

Docket No.00-8012 Country: United States

Owner: Verizon Laboratories Inc.

Application No. 9/ 652,908

Patent No. Publication No. Case Type:Regular

Filing Type:National

Related I.D.: Claims priority of

60/185,382 Parent No.

Priority Filing Date Claimed: 2/28/2000 Class:

U.S.CLASS: 709.

Total No. Claims:030

Inventors: Hahn; Mark J. Brophy; Key
Lejter; Luis D. Robbins; David C.

Status: Pending

Next Tax Due Date: Supervisor:Mr. Joel Wall Prosecutor:Mr. Joel Wall

GTE Associate: Chris Andersen

Filing Date:8/31/2000

Grant Date:

Publication Date:

Relation Type:Original Filing

PCT/EP Countries:

00-8012PRO1

Parent Filing Date:2/28/2000

No. Independent Claims:08

Brophy; Kevin

Chow; Anita L.

Status Date:3/13/2005 Expiration Date: Agent:Mr. Paul A. Harrity Agent Reference No.0010-0074

Co-Owners:Recorded

Action Code	Action Description	Action Due	Completed
WTG	Waiting Action Examiner's Office	7/3/2004	
IDX	Date of Invention		8/1/1997
IDM	Date of Invention Disclosure		2/3/2000
IDI	Invention Discl. Docketed by VZ		2/4/2000
IDR	Invention Discl. Received by VZ		2/4/2000
IDL	Inventor Informed of Discl. Recpt.		2/8/2000
DOC	File Docketed		2/11/2000
ICZ	Invent.Discl. Sent to Agent to Process		2/22/2000
ICV	Invent.Discl. Terminated by 1st Agent		2/28/2000
IDF	IDS Filed	11/30/2000	8/31/2000
ASM	Assignment Filed PTO/PCT		8/31/2000
ASH	Assignment Recorded		8/31/2000
DRS	Formal Drawings Filed PTO		8/31/2000
PWX	Pwr Of Atty & Declaration Filed PTO		8/31/2000
INV	Invention Award Authoriztn Requestd		8/31/2000
APD	Application Filing Date		8/31/2000
FLG	Flag for Internet		9/5/2000
PST	Filing Post Card Returned		9/14/2000

#### Patent Status as of 3/13/2005

SYSTEMS AND METHODS FOR PROVIDING ENHANCED MESSAGE SERVICES (aka PMA)

Docket No.00-8012 Country:United States

Owner:Verizon Laboratories Inc. Application No. 9/ 652,908

Patent No.
Publication No.

Case Type:Regular
Filing Type:National

Related I.D.: Claims priority of Parent No. 60/185,382 Priority Filing Date Claimed: 2/28/2000

Class: U.S.CLASS: 709. Total No. Claims:030

Inventors: Hahn; Mark J. Lejter; Luis D.

Status: Pending
Next Tax Due Date:
Supervisor: Mr. Joel Wall
Prosecutor: Mr. Joel Wall
GTE Associate: Chris Andersen

Filing Date:8/31/2000

Grant Date: Publication Date:

Relation Type:Original Filing

PCT/EP Countries:

00-8012PRO1

Parent Filing Date:2/28/2000

No. Independent Claims:08

Brophy; Kevin

Chow; Anita L.

Robbins; David C.
Status Date:3/13/2005

Expiration Date:
Agent:Mr. Paul A. Harrity
Agent Reference No.0010-0074

Co-Owners: Recorded

Action Code	Action Description	Action Due	Completed
NOF	No Foreign Filing/PRO Appl. Expired	2/28/2001	10/11/2000
PCZ	PCT/Foreign Appl. Filing Actions Taken	2/28/2001	10/11/2000
FOG	Foreign Filing License Granted		10/18/2000
FIT	Filing Receipt Issued		10/25/2000
ASN	Notice of Assignment Recordation		12/4/2000
IDT	Supplemental IDS Filed		7/24/2001
OFA	Office Action Issued	11/30/2000	2/3/2004
RFF	Agent Sent Prepared Response to VZ		4/13/2004
PW4	Revocation of Pwr Of Atty Sent VZ		4/13/2004
AMN	Amendment Filed	5/3/2004	5/3/2004
PW5	Revocation of Pwr Of Atty Filed PTO	5/3/2004	5/3/2004